



2623
12/4/02

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Braudaway et al.

Confirmation No.: 3310

Serial No.: 09/931,210

Examiner:

Filed: August 16, 2001

Group Art: 2623

For: Protecting Images With An Image Watermark

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Commissioner for Patents
Washington, D.C. 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(A)

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Information Disclosure Statement
PTO 1449 Form with references

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On November 20, 2002

Margaret McCabe


(Signature of person mailing paper)



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

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Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 09/931,210

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE

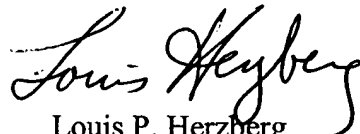
- [] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Louis P. Herzberg
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T.J. Watson Research Ctr.
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Date: November 20, 2002

Serial Number: 09/931,210

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. YOR919960153US4	SERIAL NO. 09/931,210
	APPLICANT: Braudaway et al.	
(Use several sheets if necessary)	FILING DATE: August 16, 2001	GROUP: 2623

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	5,825,829	10/20/98	Braudaway et al.			
	AB	5,530,759	6/25/96	Braudaway et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AL	PUPA 8-241403	09/17/96	Japan			X Abstract
	AM	PUPA 63-182781	07/28/88	Japan			X Abstract
	AN	PUPA 06-098151	04/08/94	Japan			X Abstract
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	"Protecting publicly-available images with a visible image watermark." Gordon W. Braudaway, Karen A. Magerlein and fred Mintzer. International Business Machines Corporation. Thomas J. Watson research Center Yorktown Heights, N.Y. 10598. 126/ SPIE Vol. 2659.
	AR	
	AS	
	AT	
	AU	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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